

Personal Information Collection Statement

Definitions

Wherever the following words and phrases appear in this Personal Information Collection Statement, they will have the following meanings:

- **Applicant** means the person who submits a White Form eIPO Application;
- **Computershare** means Computershare Hong Kong Investor Services Ltd (company number: 27040), a company under the laws of Hong Kong who acts as the Electronic Service Provider and is a licensed corporation registered with the Securities and Futures Commission with CE number AJE 219;
- **Electronic Application Form** means the application information input screens presented via this Website;
- **Electronic Service Provider** means Computershare Hong Kong Investor Services Limited, who provides an electronic website/platform for subscription of the Public Offer Securities;
- **Issuer** means the company who offers the Public Offer Securities, in this case Broncus Holding Corporation and is abbreviated as 'Broncus Holding';
- **Offer Price** means the unit price of the Public Offer Securities (exclusive of brokerage, Hong Kong Stock Exchange trading fee and SFC transaction levy) at which the Public Offer Securities are to be subscribed or purchased pursuant to the Public Offer;
- **Prospectus** means the prospectus issued by the Issuer on 13 September 2021 in connection with the Public Offer and is available on this Website and at <http://www.hkexnews.hk/>, including any supplements thereto;
- **Public Offer** means the offer to the Hong Kong public who meet the eligibility criteria to subscribe to the Public Offer Securities at the Offer Price (and subject to the terms and conditions of the Public Offer Documents);
- **Public Offer Documents** means the Prospectus, the related application forms and such other relevant documents;
- **Public Offer Securities** means the securities offered for subscription by the Issuer pursuant to the Public Offer;
- **SFC** means the Securities and Futures Commission of Hong Kong;
- **Share Registrar** means Computershare Hong Kong Investor Services Ltd;
- **Website** means this electronic site/platform operated and maintained by Computershare to enable the White Form eIPO Application; and
- **White Form eIPO Application** means the application for Public Offer Securities to be issued in the applicant's own name by submitting an application online through this Website.

This Personal Information Collection Statement sets out the information that must be provided to the users of this Website and the Applicants for Public Offer Securities ("**you**") on or before Computershare ("**we**", "**us**" or "**our**") collect and use your personal data as required by the Personal Data (Privacy) Ordinance (the "**Ordinance**") in relation to our provision of this Website and the White Form eIPO Application.

Furthermore, you should read the personal information collection statement and privacy policy of the Issuer in relation to the collection and use of your personal data by the Issuer.

1. Personal data collected and held

We may collect from you and hold some of or all of your personal data such as, but not limited to, the following to enable us to provide the services in relation to this Website and your White Form eIPO Application:

- your name;
- your Hong Kong Identity Card; and
- your contact details, including contact number, mailing address and email address.

The web servers of this Website may also collect data relating to your online session including your IP address and/or domain name, the use of which is to provide aggregated, anonymous, statistical information on the server's usage so that we may better meet the demands and expectations of browsers relative to our websites.

2. Reasons for the collection of your personal data

It is necessary for Applicants to supply their latest, correct personal data to Computershare, Broncus Holding or its agents and/or subcontractors when applying for the Public Offer Securities or transferring the Public Securities allotted to the Applicants or procuring the services of the Share Registrar.

Failure to supply the requested data may result in your White Form eIPO Application for the Public Offer Securities being rejected, or in delay or inability of Computershare to effect transfers or otherwise provide the relevant services. It may also prevent or delay registration or transfers of the Public Offer Securities allotted to you and/or the dispatch of share certificate(s) (if applicable), and/or the dispatch of refund cheque(s) (if applicable) to which you are entitled. It may also prevent the Share Registrar to provide services to the Applicants who are allotted any Public Offer Securities and successfully become holder of such Public Offer Securities.

It is important that you inform Computershare immediately of any inaccuracies in or changes to the personal data supplied.

3. Purposes

Your personal data may be used, held and/or stored (by whatever means) for the following purposes:

- i. processing of your White Form eIPO Application and refund moneys or cheque, where applicable; and verification of compliance with the terms and application procedures set out in the Electronic Application Form and the Public Offer Documents of Broncus Holding;
- ii. enabling compliance with all applicable laws and regulations in Hong Kong and elsewhere;
- iii. registering new issues or transfers of securities including, where applicable, to or from HKSCC Nominees;

- iv. announcement and publication of the allotment results of the White Form eIPO Application;
- v. disclosing your data to the Issuer in our capacity as the Share Registrar to the Issuer;
- vi. enabling the Share Registrar to provide you with the services, including without limitation:
- maintaining or updating the register of holders of securities of Broncus Holding;
 - conducting or assisting the conduct of signature verifications, any other verification or exchange of information;
 - establishing benefit entitlements, such as dividends, distribution in species, rights issues and bonus issues;
 - distributing communications from Broncus Holding and its subsidiaries; compiling statistical information and shareholder profiles;
 - providing any of our other ancillary services requested by Broncus Holding;
- vii. making disclosures as required by laws, rules or regulations;
- viii. disclosing relevant information to facilitate claims on entitlements; and
- ix. any other incidental or associated purposes relating to the above and/or to enable us to discharge our obligations to holders of securities, the Issuer, the regulatory authorities and/or any other purposes to which you may from time to time agree.

4. Transfer of personal data

Your personal data held by Computershare will be kept confidential, but Computershare may, to the extent necessary for achieving the above purposes or any of them, make such enquiries as it considers necessary to confirm the accuracy of the personal data and in particular, it may disclose, obtain, transfer (whether within or outside Hong Kong) your personal data to, from or with any and all of the following persons and entities:

- i. Issuer or their appointed agents such as financial advisers and receiving bankers, overseas principal registrar; and where applicants for securities request the allotted Public Offer Securities to be deposited into CCASS, HKSCC or HKSCC Nominees, who will use the personal data for the purposes of operating CCASS;
- ii. Computershare's subsidiaries and/or Computershare's affiliates which owe a duty of confidentiality to Computershare;
- iii. any agents, contractors or third-party service providers who offer administrative, telecommunications, computer, payment or other services to Computershare in connection with the operation of their respective business;
- iv. any law enforcement agencies and/or regulatory bodies for compliance with applicable laws, rules, regulations, codes and/or guidelines and/or any person or entity to whom Computershare, Computershare's subsidiaries and/or Computershare's affiliates and/or the receiving banks are under a binding obligation to

make disclosure under the requirements of any law, rule, regulation, code and/or guideline and/or order of any competent court of law, law enforcement agencies and/or regulatory bodies, but such disclosure will only be made under proper authority (including the Hong Kong Stock Exchange and the SFC); and

v. any other persons or institutions with which the securities you have or propose to have dealings, such as their bankers, solicitors, accountants or stockbrokers.

5. Access and correction of personal data

The Ordinance provides you with rights to ascertain whether Computershare hold your personal data, to obtain a copy of that data and to correct any data that is inaccurate. In accordance with the Ordinance, Computershare has the right to charge a reasonable fee for the processing of any data access request. All requests for access to personal data or correction of personal data or for information regarding policies and practices and the kinds of personal data held by Computershare should be addressed to Computershare as follows:

Computershare Hong Kong Investor Services Limited

Attention: Privacy Compliance Officer

Address: 17M/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong

Telephone Number: 2862 8668

Current IPO - Broncus Holding Corporation

6. Links to other websites

This Website may, from time to time, contain links to other websites. This Personal Information Collection Statement only applies to this Website so when you link to other websites you should read their own privacy policies.

7. English Version

If there is any inconsistency or conflict between the English and Chinese versions, the English version shall prevail.